

State of Maryland
Quarterly Activity Report
Reporting Agency: State Treasurer's Office (2nd Quarter)

Month: Apr. – Jun. 2020

Item & Category	Method	# Bids	Original Contract Amount Fees are based on the amount of bonds sold during a negotiated sale.	Fund Source	Award Date
T-1/S	2	18		Bond Sales proceeds from negotiated sales.	4/1/2020
Using Agency State Treasurer’s Office			Contractor & Address See list of Pool below for Senior Managers and Co-Managers. Address on file with Treasurer’s Office	Contract Identifier & Project Title UND-01072020 Underwriting Services	
Description/Remarks (Include reasons for contract modifications, if applicable, and indicate if extension or renewal option): The State Treasurer’s Office awarded contracts to 6 firms to act as Senior Managers to rotate services as book running senior manager on negotiated bond sales. Listed are the Senior Managers: Bank of America Merrill Lynch, Citi Global Markets, Inc., JP Morgan Securities, LLC, Morgan Stanley & Co. LLC, RBC Capital Markets, LLC and Siebert, Shank and Bradford, L.L.C. In addition to Senior Managers, contracts were awarded to 5 additional firms to act in the capacity as Co-managers. The Co-managers are: Robert W. Baird & Co. Incorporated, Estrada Hinojosa & Company, Inc., Raymond James & Associates, Inc., Stifel Nicolaus & Company, Inc. and UBS Financial Services, Inc. Contracts terms are 04/01/2020 through 03/31/2024 with two additional one-year renewal options.					
Complete Below - Contract Modifications Only					
Modification Date:	Modification No. and \$ Value of		% Increase this Mod to Original Contract	Cumulative % Increase All Mods to Original Contract	New Contract Amount

Item & Category	Method	# Bids	Original Contract Amount	Fund Source	Award Date
T-2/Ins	Broker	N/A	See attachment T-2/Ins	Maryland 529	10/1/2018
Using Agency State Treasurer’s Office			Contractor Name, City State Aon Risk Services, Inc. Washington DC 20006	Contract Identifier & Project Title IM/OM-07052018 Insurance Broker Services for Inland Marine and Ocean Marine Coverages	
Description/Remarks (Include reasons for contract modifications, if applicable, and indicate if extension or renewal option) In accordance with the Contract for Insurance Broker Services for Inland Marine and Ocean Marine Coverages, as amended, the broker placed one crime policy for Maryland 529 (effective: 04/01/20-04/01/21). See attachment T-2/Ins for details.					
Complete Below – Contract Modifications Only					
Modification Date:	Modification No. and \$ Value of Mod.		% Increase this Mod to Original Contract	Cumulative % Increase All Mods to Original Contract	New Contract Amount

Legend Contract Category: C = Construction; C-MOD = Construction Modification; S = Service; S-MOD = Service Modification; M = Maintenance; M-MOD = Maintenance Modification; AE = A/E Service; AE-MOD = A/E Service Modification; E = Equipment; E-MOD = Equipment Modification; I = Invoice.

Procurement Method: 1 = Competitive Sealed Bidding; 2 = Competitive Sealed Proposals; 3 = Negotiated Award; 4 = Sole Source; 5 = Emergency Procurement; 6 = Small Procurement; 7 = Preference Purchase; 8 = A/E Act, Exercise option contained in original contract, 9 Exercise renewal option.

State of Maryland
Quarterly Activity Report
Reporting Agency: State Treasurer's Office (2nd Quarter)

Month: Apr. – Jun. 2020

Item & Category	Method	# Bids	Original Contract Amount	Fund Source	Award Date
T-3/Ins	Broker	N/A	See attachment T-3/Ins	State Insurance Trust Fund	09/01/2014
Using Agency State Treasurer's Office			Contractor Name, City State Aon Risk Services, Inc. Washington DC 20006	Contract Identifier & Project Title Prop/Pkg-06192014 Insurance Broker Services for Property/Package Coverages (Categories A and B)	
Description/Remarks (Include reasons for contract modifications, if applicable, and indicate if extension or renewal option) In accordance with the Contract for Insurance Broker Services for Property/Package Coverages, the broker placed blanket excess real property and personal property coverages for the State of Maryland (effective: 04/01/20-04/01/21). See attachment T-3/ Ins for details.					
Complete Below - Contract Modifications Only					
Modification Date:	Modification No. and \$ Value of Mod.		% Increase this Mod to Original Contract	Cumulative % Increase All Mods to Original Contract	New Contract Amount

Item & Category	Method	# Bids	Original Contract Amount	Fund Source	Award Date
T-4/Ins	Broker	N/A	See attachment T-4/Ins	Various Using Agencies	05/15/2018
Using Agency State Treasurer's Office			Contractor Name, City State Willis Towers Watson Southeast, Inc. Hunt Valley, MD 21031	Contract Identifier & Project Title AV/TR-02072018 Insurance Broker Services for Aviation Coverages and Transit Coverages	
Description/Remarks (Include reasons for contract modifications, if applicable, and indicate if extension or renewal option) In accordance with the Contract for Insurance Broker Services for Aviation Coverages and Transit Coverages, the broker placed aircraft hull and liability insurance coverage for State owned aircraft for the Maryland State Police, Maryland Aviation Administration, Maryland Dept. of Natural Resources and Maryland Dept. of Agriculture (effective: 06/30/20-06/30/21). See attachment T-4/ Ins for details.					
Complete Below - Contract Modifications Only					
Modification Date:	Modification No. and \$ Value of Mod.		% Increase this Mod to Original Contract	Cumulative % Increase All Mods to Original Contract	New Contract Amount

Legend Contract Category: C = Construction; C-MOD = Construction Modification; S = Service; S-MOD = Service Modification; M = Maintenance; M-MOD = Maintenance Modification; AE = A/E Service; AE-MOD = A/E Service Modification; E = Equipment; E-MOD = Equipment Modification; I = Invoice.

Procurement Method: 1 = Competitive Sealed Bidding; 2 = Competitive Sealed Proposals; 3 = Negotiated Award; 4 = Sole Source; 5 = Emergency Procurement; 6 = Small Procurement; 7 = Preference Purchase; 8 = A/E Act, Exercise option contained in original contract, 9 Exercise renewal option.

State of Maryland
Quarterly Activity Report
Reporting Agency: State Treasurer's Office (2nd Quarter)

Month: Apr. – Jun. 2020

Item & Category	Method	# Bids	Original Contract Amount	Fund Source	Award Date
T-5/Ins	Broker	N/A	See attachment T-5/Ins	General Funds	06/01/2017
Using Agency State Treasurer's Office			Contractor Name, City State Willis Towers Watson Southeast, Inc. Hunt Valley, MD 21031	Contract Identifier & Project Title LIA-02102017 Insurance Broker Services for Liability Coverages – Category A	
Description/Remarks (Include reasons for contract modifications, if applicable, and indicate if extension or renewal option) In accordance with the Contract for Insurance Broker Services for Liability Coverages- Category A, the broker placed foreign liability insurance for the Maryland Department of Commerce (effective 05/01/20-05/01/21). See attachment T-5/Ins for details.					
Complete Below - Contract Modifications Only					
Modification Date:	Modification No. and \$ Value of Mod.		% Increase this Mod to Original Contract	Cumulative % Increase All Mods to Original Contract	New Contract Amount

Legend Contract Category: C = Construction; C-MOD = Construction Modification; S = Service; S-MOD = Service Modification; M = Maintenance; M-MOD = Maintenance Modification; AE = A/E Service; AE-MOD = A/E Service Modification; E = Equipment; E-MOD = Equipment Modification; I = Invoice.

Procurement Method: 1 = Competitive Sealed Bidding; 2 = Competitive Sealed Proposals; 3 = Negotiated Award; 4 = Sole Source; 5 = Emergency Procurement; 6 = Small Procurement; 7 = Preference Purchase; 8 = A/E Act, Exercise option contained in original contract, 9 Exercise renewal option.

Insurance Detail
STO - 2020 (2nd Quarter)

Contract: IM/OM-07052018-amended*
Broker: Aon Risk Services, Inc.
Report Period: 2nd Quarter 2020
Item & Category: T-2/Ins

<u>Policy #</u>	<u>Insurance Company</u>	<u>Policy Amount</u>	<u>Description</u>
105590037	Travelers Casualty & Surety Co. of America	\$1,212	Commercial crime insurance for Maryland 529, formerly College Savings Plans of Maryland. Covers for Public Employee Dishonesty and includes Faithful Performance of Duty. The policy is effective from 4/1/2020-2021.

Contract: Prop/Pkg-06192014 A&B
Broker: Aon Risk Services
Report Period: 2nd Quarter 2020
Item & Category: T-3/Ins

<u>Policy #</u>	<u>Insurance Company</u>	<u>Policy Amount</u>	<u>Description</u>
024242286(*Lead)	*Lexington Insurance Company is lead carrier and there are approximately 24 other carriers filling the full coverage limit. Further details are available as requested.	\$8,487,987	Blanket excess real and personal property coverage, including EDP, for the State of Maryland. The total limit of coverage was achieved at most competitive pricing by means of several layers/ carriers. The total limit of coverage is \$500,000,000 in excess of \$2,500,000 self insured retention. Coverage is effective 4/1/2020-2021.

Contract: AV/TR-02072018
Broker: Willis Towers Watson Southeast, Inc.
Report Period: 2nd Quarter 2020
Item & Category: T-4/Ins

<u>Policy #</u>	<u>Insurance Company</u>	<u>Policy Amount</u>	<u>Description</u>
AV003386130-24	National Union Fire Ins. Co. of Pittsburg (Lead Co.) Other carriers QBE; Global Aerospace, Starr & XL Specialty	\$1,479,417	State of Maryland Aviation Hull & Liability Insurance covering owned aircraft of Maryland State Police; Maryland Aviation Administration; Maryland Dept. of Natural Resources; and, Maryland Dept. of Agriculture. Coverage also includes incidental non-owned aircraft liability in conjunction with the University of Maryland Eastern Shore flight school operations. Coverage is effective: 6/30/2020-2021.

Contract: LIAB-02102017 - A
Broker: Willis Towers Watson Southeast, Inc.
Report Period: 2nd Quarter 2020

Insurance Detail
STO - 2020 (2nd Quarter)

Item & Category: T-5/Ins

<u>Policy #</u>	<u>Insurance Company</u>	<u>Policy Amount</u>	<u>Description</u>
PHFD3808074A 00	ACE American Insurance Co.	\$2,500	Maryland Department of Commerce - This is renewal of Foreign Liability Insurance as request for the agency's international marketing activity. The renewal coverage is effective: 5/1/2020-2021